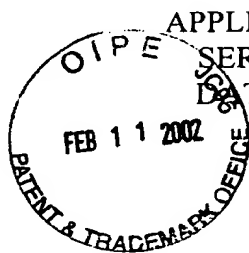


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PATENT: 600-1-237

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



APPLICANT(S): Darnell et al.

SERIAL NO.: 09/174,913

DATE FILED: October-19, 1998

EXAMINER: UNASSIGNED

ART UNIT: 1646

FOR: METHODS AND AGENTS FOR INDUCING APOPTOSIS AND  
METHODS FOR THEIR IDENTIFICATION

**CERTIFICATE OF MAILING UNDER 37 CFR §1.8**

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(Signature and Date)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with Applicant's and Applicant's representatives' Duty of Disclosure under 37 CFR § 1.56, and pursuant to 37 CFR §1.97 and MPEP 717.05(b), Applicant(s) submit herewith documentary information for consideration by the Examiner. Information herein cited is only set forth in fulfillment of Applicant's duty of candor in disclosing all information brought to his attention, and is not an admission that it can be used adversely. The publications forwarded herewith are listed on the enclosed Form PTO-1449. Applicant(s) request that the Examiner, upon reviewing the enclosed materials, initial the enclosed form and return a copy thereof in accordance with the instructions on the form.

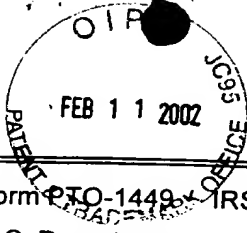
Enclosed please find copies of References AA through AI listed on the attached Form PTO-1449.

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Respectfully submitted,

Michael A. Yamin  
Agent for Applicants  
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Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office	ATTORNEY DOCKET NO.	600-1-237
	SERIAL NO.	09/174,913
LIST OF DOCUMENTARY INFORMATION CITED BY APPLICANT (Use several sheets if necessary)	APPLICANT	Darnell et al.
	FILING DATE	October 19, 1998
	GROUP	1646

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AA	Bouchard, C.S. et al., (1998) Trends in Cell Biology 8:202-206.
	AB	Corradi, J.P. et al., (1997) J. Neurosci 17:1406-1415.
	AC	Dropcho, E. et al., (1987) Proc. Natl. Acad. Sci. USA 84:4552-56
	AD	Evan, G. et al., (1998) Science 281:1317-1321/
	AE	Fathallah-Shaykh, H. et al., (1991) Proc. Natl. Acad. Sci. USA 88:3451-3454.
	AF	Matsui, Y., (1998) APMIS 106:142-147. (Abs)
	AG	Ruppert, C. et al., (1986) EMBO J 5:1897-1901.
	AH	Sakai, K. et al., (1990) Ann Neurol 28:692-698.
	AI	Ulrich, E. et al., (1998) Oncogene 16:825-832.
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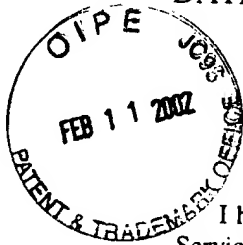
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SERIAL NO.: 09/174,913

EXAMINER: UNASSIGNED

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FOR: METHODS AND AGENTS FOR INDUCING APOPTOSIS AND  
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Jiang Liu

(Name of depositor)

Michael A. Yamin 3/28/2002

(Signature and Date)

Assistant Commissioner for Patents  
Washington, D.C. 20231

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with Applicant's and Applicant's representatives' Duty of Disclosure under 37 CFR § 1.56, and pursuant to 37 CFR §1.97 and MPEP 717.05(b), Applicant(s) submit herewith documentary information for consideration by the Examiner. Information herein cited is only set forth in fulfillment of Applicant's duty of candor in disclosing all information brought to his attention, and is not an admission that it can be used adversely. The publications forwarded herewith are listed on the enclosed Form PTO-1449. Applicant(s) request that the Examiner, upon reviewing the enclosed materials, initial the enclosed form and return a copy thereof in accordance with the instructions on the form.

Enclosed please find copies of References AJ through AO listed on the attached Form PTO-1449. The undersigned attorney for applicants hereby certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. In particular, this reference was cited in the **International Search Report** dated **February 9, 2000**, established by the European Patent Office International Searching Authority in connection with the corresponding PCT International Application. A copy of the International Search Report is enclosed.

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Respectfully submitted,

Michael A. Yamin

Agent for Applicants

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	APPLICANT	Darnell et al.
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LIST OF DOCUMENTARY INFORMATION CITED BY APPLICANT (Use several sheets if necessary)
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
AJ	WO 92/15320	03/04/92	PCT			
AK	WO 92/13093	08/06/92	PCT			

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AA	Bouchard, C.S. et al., (1998) Trends in Cell Biology 8:202-206.
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AL	Corradi and Darnell, 1995, Soc Neurosci, 21:487

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